



PATENTS  
Attorney Docket No. CF/030

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Howard W. Lutnick et al.  
Application No. : 10/015,738 Confirmation No. : 3474  
Filed : December 12, 2001  
For : METHODS AND SYSTEMS FOR TRADING OF  
FUTURES CONTRACTS FOR INTANGIBLE  
ASSETS  
Group Art Unit 2161  
Examiner : Not Yet Assigned

RECEIVED  
JUL 08 2003  
GROUP 3600

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicant hereby makes the following documents of record in the above-identified patent application:

U.S. Patent Documents

Wagner	Pat. No. 4,980,826	December 25, 1990
Mosler et al.	Pat. No. 6,304,858 B1	October 16, 2001

Copies of the aforementioned references, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith. It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

The information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application. In particular, these references were cited in the April 21, 2003 International Search Report in applicants' corresponding PCT international application PCT/US02/15366. A copy of that Search Report is enclosed herewith.

Pursuant to 37 C.F.R. § 1.97(e)(1), applicants hereby certify that the listed documents were cited in a communication from a foreign patent office not more than three months prior to the date of this Statement. Therefore, in accordance with 37 C.F.R. § 1.97(c)(1), submission of this Statement requires no fee. However, if for any reason a fee

is due, the Director is hereby authorized to charge payment of any fees required in connection with this Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

Denise Bergin  
Denise Bergin  
Reg. No. 50,581  
Attorney for Applicants  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
Tel.: (212) 596-9000  
Fax : (212) 596-9090

I hereby certify that this  
Correspondence is being  
deposited with the U.S.  
Postal Service as First  
Class Mail in an envelope  
Addressed to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450 on

June 25, 2003  
Claire J. Saintil van Goodman  
Claire J. Saintil van Goodman  
Person Signing

